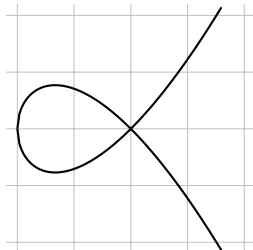


# Synthetic Algebraic Geometry

Matthias Hutzler  
University of Gothenburg

Interactions of Proof Assistants and Mathematics  
Regensburg, 2023-09-19

$$X^3 + X^2 - Y^2 = 0$$



# The spectrum of an algebra

Let  $R$  be a ring.

## Definition

If  $A$  is a finitely presented  $R$ -algebra, we define:

$$\text{Spec}(A) := \text{Hom}_{R\text{-Alg}}(A, R)$$

```
Spec : CommAlgebra R ℓ' → Type _  
Spec A = CommAlgebraHom A (initialCAlg R)
```

## Examples

- $\text{Spec}(R[X]) = R$
- $\text{Spec}(R[X]/(P)) = \{x : R \mid P(x) = 0\}$
- $\text{Spec}(A \otimes B) = \text{Spec}(A) \times \text{Spec}(B)$

# The spectrum of an algebra

Let  $R$  be a ring.

## Definition

If  $A$  is a finitely presented  $R$ -algebra, we define:

$$\text{Spec}(A) := \text{Hom}_{R\text{-Alg}}(A, R)$$

```
Spec : CommAlgebra R ℓ' → Type _  
Spec A = CommAlgebraHom A (initialCAlg R)
```

## Examples

- $\text{Spec}(R[X]) = R$
- $\text{Spec}(R[X]/(P)) = \{x : R \mid P(x) = 0\}$
- $\text{Spec}(A \otimes B) = \text{Spec}(A) \times \text{Spec}(B)$

## Axiom (SQC)

For every finitely presented  $R$ -algebra  $A$ , the following canonical map is bijective:

$$A \rightarrow R^{\text{Spec}(A)}$$

```
canonical-map : (A : CommAlgebra R ℓ') →  
  ( A ) → ( Spec A → ( R ) )  
canonical-map A a φ = φ $a a  
  
SQC : Type _  
SQC = (A : CommAlgebra k ℓ) →  
  isFPAlgebra A → isEquiv (canonical-map A)
```

# The spectrum of an algebra

Let  $R$  be a ring.

## Definition

If  $A$  is a finitely presented  $R$ -algebra, we define:

$$\text{Spec}(A) := \text{Hom}_{R\text{-Alg}}(A, R)$$

```
Spec : CommAlgebra R ℓ' → Type _  
Spec A = CommAlgebraHom A (initialCAlg R)
```

## Examples

- $\text{Spec}(R[X]) = R$
- $\text{Spec}(R[X]/(P)) = \{x : R \mid P(x) = 0\}$
- $\text{Spec}(A \otimes B) = \text{Spec}(A) \times \text{Spec}(B)$

## Axiom (SQC)

For every finitely presented  $R$ -algebra  $A$ , the following canonical map is bijective:

$$A \rightarrow R^{\text{Spec}(A)}$$

```
canonical-map : (A : CommAlgebra R ℓ') →  
  (A) → (Spec A → (R))  
canonical-map A a φ = φ $a a  
  
SQC : Type _  
SQC = (A : CommAlgebra k ℓ) →  
  isFPAlgebra A → isEquiv (canonical-map A)
```

## This is counter-classical

$$(\forall x : R. x = 0 \vee x \neq 0) \implies R = 0$$

# The standard model of synthetic algebraic geometry

The standard model is:

- the topos  $\mathrm{Sh}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$
- with its structure sheaf  $\mathcal{O}_{\mathrm{Zar}}$

# The standard model of synthetic algebraic geometry

The standard model is:

- the topos  $\mathrm{Sh}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$
- with its structure sheaf  $\mathcal{O}_{\mathrm{Zar}}$

(If we are satisfied with first-order logic /  
higher-order logic.)

# The standard model of synthetic algebraic geometry

The standard model is:

- the topos  $\mathrm{Sh}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$
- with its structure sheaf  $\mathcal{O}_{\mathrm{Zar}}$

(If we are satisfied with first-order logic / higher-order logic.)

- the  $\infty$ -topos  $\mathrm{Sh}_{\infty}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$

(If we want to use HoTT.)

# The standard model of synthetic algebraic geometry

The standard model is:

- the topos  $\mathrm{Sh}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$
- with its structure sheaf  $\mathcal{O}_{\mathrm{Zar}}$

(If we are satisfied with first-order logic / higher-order logic.)

- the  $\infty$ -topos  $\mathrm{Sh}_{\infty}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$

(If we want to use HoTT.)

- a cubical sets variant of  $\mathrm{Sh}(\mathrm{Ring}_{\mathrm{fp}}^{\mathrm{op}}, J_{\mathrm{Zar}})$

(If we want to be constructive in the meta-theory.)



# The standard model of synthetic algebraic geometry

The standard model is:

- the topos  $\text{Sh}(\text{Ring}_{\text{f.p.}}^{\text{op}}, J_{\text{Zar}})$
- with its structure sheaf  $\mathcal{O}_{\text{Zar}}$

(If we are satisfied with first-order logic / higher-order logic.)

- the  $\infty$ -topos  $\text{Sh}_{\infty}(\text{Ring}_{\text{f.p.}}^{\text{op}}, J_{\text{Zar}})$

(If we want to use HoTT.)

- a cubical sets variant of  $\text{Sh}(\text{Ring}_{\text{f.p.}}^{\text{op}}, J_{\text{Zar}})$

(If we want to be constructive in the meta-theory.)

## Axiom (Loc)

$R$  is a local ring. That is:  $0 \neq_R 1$  and  $\forall x:R. (x \text{ invertible}) \vee (1 - x \text{ invertible})$ .

## Axiom (SQC)

For every finitely presented  $R$ -algebra  $A$ , the following canonical map is bijective:

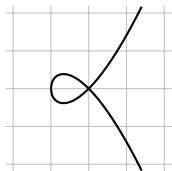
$$A \rightarrow R^{\text{Spec}(A)}$$

## Axiom (Z-choice)

Every surjection  $\pi$  *locally* has sections:

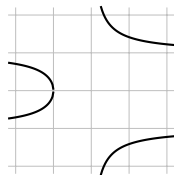
$$\begin{array}{ccc} & \overset{s_i}{\curvearrowright} & E \\ & & \downarrow \pi \\ D(f_i) & \hookrightarrow & \text{Spec}(A) \end{array}$$

# A secret connection between two equations

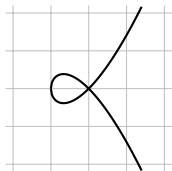


$$X^3 + X^2 - Y^2 = 0$$

$$1 + Z - Y^2Z = 0$$

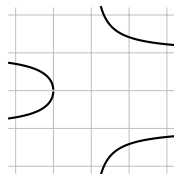


# A secret connection between two equations



$$X^3 + X^2 - Y^2 = 0$$

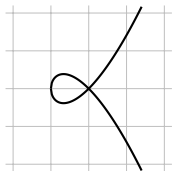
$$1 + Z - Y^2Z = 0$$



$$Z = 1 \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad X = 1$$

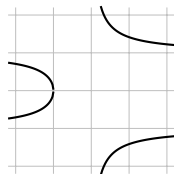
$$X^3 + X^2Z - Y^2Z = 0$$

# A secret connection between two equations



$$X^3 + X^2 - Y^2 = 0$$

$$1 + Z - Y^2Z = 0$$

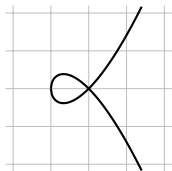


$$Z = 1 \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad X = 1$$

$$X^3 + X^2Z - Y^2Z = 0$$

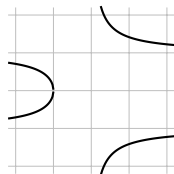
This is a **homogenous** equation.

# A secret connection between two equations



$$X^3 + X^2 - Y^2 = 0$$

$$1 + Z - Y^2Z = 0$$



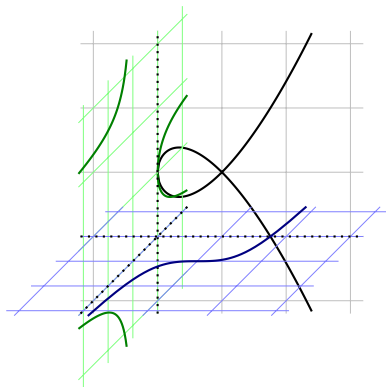
$$Z = 1 \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad \begin{array}{c} \nearrow \\ \text{wavy} \\ \searrow \end{array} \quad X = 1$$

$$X^3 + X^2Z - Y^2Z = 0$$

This is a **homogenous** equation.

Its solutions are best described as **ratios**  $[x : y : z]$ .

# Projective space as a scheme

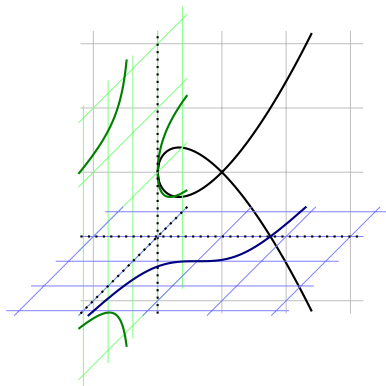


## Definition

$$\mathbb{P}^n := (R^{n+1} \setminus \{0\}) / \sim$$

where  $x \sim y \Leftrightarrow \exists a \in R^\times. ax = y$ .

# Projective space as a scheme



## Definition

$$\mathbb{P}^n := (R^{n+1} \setminus \{0\}) / \sim$$

where  $x \sim y \Leftrightarrow \exists a \in R^\times. ax = y$ .

## Definition

A set  $X$  is a *scheme* if it can be covered by finitely many subsets  $U_i \subseteq X$  such that:

- Every  $U_i$  is of the form  $\text{Spec}(A)$ .
- For all  $i$  and  $x \in X$ , there exist  $a_1, \dots, a_n \in R$  such that

$$x \in U_i \Leftrightarrow (a_1 \text{ inv.}) \vee \dots \vee (a_n \text{ inv.})$$

## Example

Define  $U_i \subseteq \mathbb{P}^n$  by

$$[x] \in U_i \Leftrightarrow (x_i \text{ invertible}).$$

## Formalizing synthetic theory

- state axioms
- make definitions
- deduce results  
(here: needs constructive algebra)



## Formalizing synthetic theory

- state axioms
- make definitions
- deduce results  
(here: needs constructive algebra)

## Formalizing models (?)

- topos theory /  $\infty$ -topos theory
- define domain-specific language / type theory
- provide sound interpretation  
(here: needs some algebra)
- deduce external results from synthetic proofs

## Formalizing synthetic theory

- state axioms
- make definitions
- deduce results  
(here: needs constructive algebra)

## Formalizing models (?)

- topos theory /  $\infty$ -topos theory
- define domain-specific language / type theory
- provide sound interpretation  
(here: needs some algebra)
- deduce external results from synthetic proofs

## Vision

synthetic language = external language + axioms

- Synthetic languages are powerful abstraction layers.
- Please formalize constructively.
- Algebraic geometry is so much *fun* when you do it synthetically!

**Preprint:**

<https://arxiv.org/abs/2307.00073>

**(Very partial) formalization:**

<https://github.com/felixwellen/synthetic-geometry>